

North Yorkshire County Council**Audit Committee****16 July 2015****Annual Report on Partnership Governance 2014/15****1.0 Purpose of report**

- 1.1 To provide an annual report on the governance of partnerships involving the County Council for the financial year 2014/15.

2.0 Background

- 2.1 Both the Executive and the Audit Committee have previously agreed to receive an annual report on the governance of partnerships involving the County Council. This report is also being presented to the Executive on 7 July 2015.
- 2.2 Prior to a commitment being made to any partnership arrangement, approval is required in line with the County Council's Constitution, Financial Procedure Rules and Partnership Working Guidance.
- 2.3 The annual report provides a mechanism to ensure that partnerships and the resulting commitments are reviewed regularly and that the County Council is only involved with those partnerships which added value to the work of the Council.
- 2.4 Partnerships are within the scope of the annual report if they are characterised by one or more of the following conditions:
- strategic, in the sense that they will have a significant impact on the direction of services provided, at the level of County Council, Directorate or Community Plan themes and priorities;
 - involve Members on the governing board;
 - involve a financial input from the County Council of £50k a year or more;
 - involve the County Council as accountable body for external grant funding to the partnership; or
 - have a risk assessment arising from the partnership governance work of high or medium.
- 2.5 **Appendix 1** is a schedule of partnerships that were within the scope of this report as at 31 March 2015. The number and nature of partnerships changes from time to time and, as with all annual reports, the data within the appendix is a snapshot in time.
- 2.6 The County Council nominates Members to a wide range of outside organisations, some of which are partnerships and included in **Appendix 1**. However, the majority of outside organisations listed in the Constitution are not

partnerships and the arrangements for reporting, where required, are as set out in the Constitution.

- 2.7 This report also does not cover arrangements which are monitored in other ways, for example where the County Council is the sole or a significant shareholder in a limited company or part of a joint committee arrangement.

3.0 Principles for partnership working and key changes during 2014/15

- 3.1 The key principles for partnership working locally were agreed in 2010 by Local Government North Yorkshire and York (LGNYY):

- the minimum number and simplest of partnership structures, consistent with delivering the required outcomes and statutory requirements;
- a North Yorkshire and York approach to county / sub-regional partnership structures as far as possible, recognising that a degree of pragmatism will be required given the different local authority structures in North Yorkshire and York;
- local partnerships, including shared community engagement arrangements, at the most appropriate local level; and
- the use of task and finish groups to deal with particular issues, rather than standing thematic partnerships or sub-groups.

- 3.2 LGNYY also agreed that rationalising partnership structures is not about stopping partners working together - partnership working should be encouraged, but partnership structures should only exist where they add value and are efficient.

- 3.3 The following partnerships have been included in the appendix this year for the first time:

- North Yorkshire Community Safety Partnership (replaced York and North Yorkshire Safer Communities Forum)
- Local Delivery Groups (replaced Community Safety Partnerships at district level)
- York and North Yorkshire Prevent Strategic Board
- North Yorkshire Older Peoples Forum Plus.
- Regional Employers Organisation (as this will continue to exist after LGYH has been dissolved)
- York, North Yorkshire and East Riding LEP Area European Structural and Investment Funds Committee
- Joint Commissioning of Speech, Language and Communication Needs (for children)
- Health Protection Assurance Group

- 3.4 The following partnerships, which were included in the appendix last year, have been removed this year:

- York and North Yorkshire Safer Communities Forum
- District-based Community Safety Partnerships
- (Social Care) Market Development Board

- 3.5 No partnerships are identified as having a high overall risk rating.
- 3.6 The risk rating for the 95 Alive Partnership was reduced from high in 2013/14 to a medium risk in 2014/15. This was because:
- The remaining Performance Reward Grant funding that was carried forward into 2014/15 was used by the end of 2014/15. The Police and Crime Commissioner (PCC) for North Yorkshire authorised £100k to fund road safety work during 2014/15, most of which is being used for the purchase of new data logging equipment to assess traffic speeds where local residents and communities have raised concerns through the Speed Management Protocol. The PCC has confirmed that £100k funding for road safety programmes will also be made available through the 95 Alive Partnership in 2015/16. This funding comes from the surplus accrued from Speed Awareness Courses under the North Yorkshire Police scheme.
 - An agreement has also been reached between Public Health North Yorkshire and the NYCC Road Safety & Travel Awareness team for the team to deliver road safety education, training and information programmes and other road safety and active travel interventions on a jointly funded basis by Public Health and NYCC Highways. Regional collaboration between authorities and partnerships is being expanded to obtain regional outputs and shared development and procurement costs for strategic casualty reduction delivery.
- 3.6 All Directorates are continuing to review the number of partnership arrangements that officers are actively involved in, to determine their legal requirements, strategic importance and the impact if the partnership were to be dissolved.
- 3.7 In addition, as requested by the Executive when considering previous annual reports; individual Executive Members, in conjunction with officers of each Directorate, have given consideration to the governance and monitoring arrangements of partnerships relating to that Directorate.

4.0 Arrangements in place to monitor partnerships

- 4.1 **Appendix 1** includes for each partnership a summary of key achievements in 2014/15, priorities for 2015/16, arrangements for partnership governance and reporting, and a risk assessment. The appendix identifies a lead directorate for each partnership who, where appropriate, ensures the engagement of relevant services across the council.
- 4.2 As highlighted in previous annual reports, the wide range of partnerships, and their differing roles, means a 'one size fits all' approach to reporting is neither practical nor appropriate. In this context, reporting arrangements cover:
- key issues, including service issues,
 - any specific issues relating to the management of the partnerships, and
 - routine reporting on financial or other performance, highlighting variances to budgets or performance plans.

- 4.3 All reporting arrangements need to be appropriate and commensurate to the role of the partnership, and what it seeks to achieve. The term 'partnership' is used to cover a wide range of different approaches. Some (for example the Children's Trust), are a coming together of partners with separate budgets to jointly plan and align their own organisations activity. Others (for example Supporting People) are a delivery mechanism for joint budgets and joint decisions, where the County Council is the accountable body. **Appendix 2** includes additional explanatory information in relation to partnership activity in the CYPS directorate.
- 4.4 Data from partnership working is included in a range of more general updates, including those submitted to the Executive as part of the Quarterly Performance Monitoring reports. There are some examples of formalised reporting of partnership matters, in the specific context of the partnership, back to the County Council at Executive, Executive Member or Area Committee level. However, more often the data from partnerships is not readily separated from the more general level of reporting, and in many cases to do so would result in duplication.
- 4.5 It is necessary to ensure that the arrangements reflect appropriately the significance of the issues arising in the partnership within the overall framework of the monitoring arrangements involving Members. There is a need to avoid the risk of providing an unnecessarily detailed analysis for relatively small partnership working areas.
- 4.6 This annual report allows the Executive and the Audit Committee to consider whether more (or less) information should be submitted in separate monitoring reports and to whom.
- 4.7 The governance arrangements of all partnerships with a medium to high risk rating have been reviewed by officers from Legal and Democratic Services to ensure that robust arrangements are in place to protect the interests of the partnership and, in particular, of the County Council. The review considered all written governance documents of the partnership to check that they are fit for purpose. No concerns over governance arrangements have been identified. It is not proposed that low risk partnerships will be reviewed unless there are any exceptional reasons for doing so. If any concerns are identified, Legal and Democratic Services will liaise with the lead officer to offer advice and support and ensure that appropriate corrective action is taken to rectify such concerns. It is anticipated that that similar reviews will be undertaken on an annual basis.
- 4.8 The 2012/13 annual report summarised an internal audit undertaken by Veritau in early 2013 to provide assurance that there are sound governance arrangements in place for partnerships. The overall audit opinion was that the controls in place provide Substantial Assurance, that is:
- there is good management of risk with few weaknesses identified; and
 - an effective control environment is in operation but there is scope for further improvement in identified areas.

All the elements of the action plan agreed following the internal audit have been completed satisfactorily.

4. 9 Veritau undertook internal audits in 2014/15 on the Y&NYER Local Enterprise Partnership and North Yorkshire Local Transport Partnership. These were given a 'substantial' and 'high assurance' rating respectively. An internal audit was also undertaken on Superfast North Yorkshire and Veritau were satisfied with the controls in place.
4. 10 This is the fifth annual report presented to both the Executive and the Audit Committee. However, over the last two years in particular there have been few issues to report about partnership governance issues. This has been due in part to:
- a reduction in partnerships in some areas;
 - a proportionate specific risk assessment being undertaken for all partnerships, as part of the NYCC general risk assessment to determine the specific risks that should be monitored and mitigated against for the partnership; and
 - a programme of governance reviews and audits being in place for medium to high risk partnerships.

5. 0 Recommendations

5. 1 It is recommended that the Audit Committee:
- (a) Receives this annual report on partnership governance;
 - (b) Notes the arrangements in place to ensure good governance and reporting of partnership activity; and
 - (c) Notes the contents of the schedule of partnerships that were within the scope of this report as at 31 March 2015 (Appendix 1).

Gary Fielding
Corporate Director - Strategic Resources

2 June 2015

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Appendices:

- Appendix 1 - Partnerships that were within the scope of this report as at 31 March 2015
- Appendix 2 - CYPS Partnership Activity

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L)					Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result
																		1	2	3	4	5		
Strategic sub-regional and regional partnerships																		1	2	3	4	5		
Local Government North Yorkshire and York (LGNYY)	CS	2	To promote effective working between local authorities and to ensure wider local authority representation, collaboration and co operation on a sub-regional basis and effective sub-regional representation at regional and national levels.	2011. Reduced number of specialist boards	Providing a sub-regional voice and promoting effective working between local authorities.	Providing a sub-regional voice and promoting effective working between local authorities.	No	Leaders of all local authorities and national park authorities. Written terms of reference.	www.nypartnership.org.uk/index.aspx?articleid=16814	No budget; NYCC provides officer time for secretariat.	n/a	No budget.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr John Weighell - member	No	Richard Flinton	n/a	L	M	L	L	M	L	n/a
NYC Chief Executives Group	CS	2, 3	To provide leadership and coordination across sub-regional partnership structures and public services generally and to advise LGNYY	2011. Created to support LGNYY; replaced NYSPP Executive.	Monitoring of Community Plan priorities progress; monitoring Performance Reward Grant funding and expenditure; set up Directors of Development group to look at spatial planning and sub-regional devolution; sign up to countywide initiatives such as Public Services Network, Open Data site, Alcohol Strategy; co-ordinated responses to Tour de France, mobile infrastructure project, draft volunteering strategy, liaison on Stronger Communities, dementia strategy, emergency planning issues, funding for tourism in NY and York, Prevent.	Sub-regional devolution: co-ordinated response to new government policies; continued monitoring of Community Plan priorities	No	Chief executives of local authorities and key local public sector partners. Written terms of reference.	www.nypartnership.org.uk/index.aspx?articleid=16813	No budget; NYCC provides officer time for secretariat.	n/a	No budget of its own; but oversees the utilisation of the LAA Performance Reward Grant (£6m).	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	No	Neil Irving	Debbie Bassett	L	M	H	L	M	M	11 May 2015 - suitable terms of reference are in place and updated as necessary. Version checked was last revised in April 2014.
North Yorkshire Local Resilience Forum	CS	1, 2	To ensure effective delivery of statutory duties under the Civil Contingencies Act 2004 that need to be developed in a multi-agency environment.	2012 - review by NYLRF members approved new governance structures and role of secretariat.	1. New incident command and control procedure developed and embedded (Response to Major and Critical Incidents) 2. New information sharing procedure to provide early warning of incidents 3. Gold level symposium for partner agency chief and senior officers 4. Silver and Bronze level training 5. Ongoing risk assessment against Community Risk Register 6. Ongoing emergency resilience in the community	1. Gold, silver and bronze level training 2. NYLRF Strategic Priorities- reviews of the following: Mass Fatalities process and premises Mass Casualty Clearance including advance casualty clearance stations Pandemic framework Mass Treatment Plan 3. Coastal Inundation (regional) 4. Other as indicated by Community Risk Register and Strategic Group direction.	No	Multi-agency partnership to carry out statutory duties as defined by the Civil Contingencies Act plus additional legislation. Written governance document.	www.lgyh.gov.uk/T%20Library/Other%20Research/Governance/	Secretariat provided by NYCC and funded by partners (£39k). Training & exercise budget using previously agreed joint provision of funds from partners (£3k).	NYCC	NYCC contribution of £10k towards total cost of £39k for secretariat. Until April 2011 NYCC covered the full cost.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	No	Neil Irving	Debbie Bassett	L	M	L	M	H	M	14 June 2012 - No concerns noted.
Local Government Yorkshire & Humber (LGYH)	CS	4	Member-led partnership of all local authorities across Yorkshire and Humber - enabling councils, fire and rescue authorities, police and crime commissioners and national park authorities to come together - as well as with wider partners - on issues of common interest, share information and intelligence and provide a stronger collective voice on issues critical to the future prosperity of local communities across the region.	New governance arrangements established during 2012/13 as part of an organisational restructure, with the LGYH secretariat directly accountable to the Y&H Chief Executives' Group and, in turn, the LGYH Council (comprising local authority leaders)	A decision has now been taken by the member councils to dissolve the organisation. Moves will be taken to achieve this during the course of the next year and to look at how the employer's function of the organisation can be retained and hosted by one of the member councils. There are some complex issues to be determined in relation to employees, pension liabilities, assets and reserves and these matters will be dealt with over the coming months when it is anticipated that an official end date will be announced.		No	All activity accountable to LGYH Council; supported by Y&H Chief Executives' Group. LGYH Council has rotational Chair for 2-year periods, plus four Vice Chairs (one per sub-region). Written constitution.	www.lgyh.gov.uk/T%20Library/Other%20Research/Governance/	LGYH's core income from its membership's affiliation fees is £504k, with £121k of this directed to the "Workforce" function. Total expenditure is greater, but covered from external income.	LGYH is an independent body, established utilising the legal personality of an Employers' Association.	£37.2k subscription.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr John Weighell - member	The LGYH is part of West Yorkshire Pension Fund, and when LGYH is disbanded, their actuary would need to advise on any pension fund value, and if a deficit were applicable, West Yorkshire Pension Fund would need to collect the monies due. It might be that NYCC is asked to contribute.	Richard Flinton	Debbie Bassett	L	M	L	L	L	L	n/a
Regional Employers Organisation	CS	4	Member-led partnership of all local authorities across Yorkshire and Humber - enabling councils, fire and rescue authorities, issues of common interest, share information and intelligence and provide a stronger collective voice on national issues in the areas of employee relations and pay and terms and conditions.	New governance arrangements established from April 2015 following dissolution of LGYH	The Regional Employers Committee has been retained as part of the Employers Organisation providing an ongoing opportunity for the input of regional views into national pay bargaining and related issues.		No	All activity governed by individual and collective views of participating local authorities via the reps attending.	http://www.nypartnership.org.uk/index.aspx?articleid=16828	EO's core income from its membership's affiliation fees. Total expenditure is greater, but covered from external income.	EO is an independent body, established utilising the legal personality of an Employers' Association.	£6k subscription.	No routine report to NYCC elected member body, any issues arising feeding into County Council processes in the usual way.	Cllr Carl Les - member	The 2 EO staff are part of West Yorkshire Pension Fund, and if EO disbanded, their actuary would need to advise on any pension fund value, and if a deficit were applicable, West Yorkshire Pension Fund would need to collect the monies due. It might be that NYCC is asked to contribute.	Justine Brooksbank	n/a	L	M	L	L	L	L	n/a
North Yorkshire Community Safety Partnership	CS	1	The purpose of the CSP is to bring together the responsible authorities, supported by other relevant organisations, to fulfil their statutory responsibilities to work together under the Crime and Disorder Act 1998 (as amended) .	Not applicable - formally constituted in October 2014	1. Formal merger from 6 CSPs to form 1 Countywide CSP for NY. 2. Successful OPCC funding bids for countywide projects	Priority areas: Domestic Abuse, Road Safety, Anti-Social behaviour, Substance Misuse, Serious and Organised Crime	No	Senior rep (officer) of each key local community safety partners (responsible authorities) and 'relevant organisations'. A written constitution has been approved. NYCC provides the secretariat to the partnership	http://www.nypartnership.org.uk/index.aspx?articleid=16828	No budget.	NYCC	No budget.	Corporate & Partnerships Overview and Scrutiny Committee in its role as statutory crime and disorder committee	None	No	Neil Irving	n/a	L	L	L	L	M	L	n/a

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L) 1 Probability of governance failure 2 NYCC financial 3 NYCC financial 4 NYCC services 5 NYCC reputation	Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result
York and North Yorkshire Prevent Strategic Board	CS	2	To provide leadership across the Prevent (counter-terrorism) agenda	2014. Replaced Prevent Implementation Board	2014/15 achievements Coordination, planning & delivery of 5 'Making the Link' multi agency day seminars across NY November/Dec 2015 (800 partners accessed this) Data & Intelligence contribution from NYCC directorates into the Counter Terrorism Local Profile (CTLP) Representation and engagement of the Prevent Strategic Board NYCC Prevent Group formed to engage with the Prevent agenda WRAP 3 trainers (3) Raised awareness and profile of Prevent at SM level across directorates Increased engagement with Adult and Children's Safeguarding Board, Children's & Adults social Care and Education	2015/16 priorities Ensure the Prevent duties are adhered through continuous NY CC engagement via: a) NYCC Prevent Group b) Service contribution to the CTLP c) Develop Action plan to reduce risk d) representation and engagement of the Prevent Strategic Board e) Train staff to recognise radicalisation and extremism (integrate WRAP 3 & other training resources in to appropriate training plan) f) Embed Channel into the safeguarding referral process g) Maintain records and reports to show compliance	No	Officers of key partners		No budget.	City of York Council	No budget.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	No	Neil Irving	n/a	L L L M M	L	n/a
North Yorkshire Older Peoples Forum Plus	CS	4	Quarterly meeting of the North Yorkshire Forum for Older People with NYCC, district councils and the NHS	Autumn 2014	Developed as a user-owned consultation mechanism enabling statutory agencies to consult with representatives of older people. Has contributed to the consultation on the future for NYCC's libraries, discussions around the Better Care Fund and integrated working, and developing Stronger Communities	Quarterly programme of meetings with agenda jointly agreed by the Forum and statutory agencies.	No	Representatives of North Yorkshire Forum for Older People, NYCC, district councils and the NHS		No budget	North Yorkshire Forum for Older People	No budget.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	No	Neil Irving	n/a	L L L L L	L	n/a
Superfast North Yorkshire (SFNY)	CS	2, 3	To bring the advantages of high quality broadband to 100% of businesses and citizens in North Yorkshire by 2017.	The SFNY Advisory Board (formerly CNY Board) meets on a regular basis to oversee / manage all aspects of the SFNY project.	Phase 1 of the BT project will complete by end of March 2015. Phase 2 extension has been awarded to BT and will be delivered by the end of 2016. Take-up of the BT network is on target for +30%. Wireless schemes continue to be supported and growth in both area coverage and take-up is being seen. Business Support programme (ERDF) is exceeding targets and due to wind up delivery in Summer 2015.	Contract management of Phase 2 will be a key priority as will the preparatory work on a strategy for Phase 3 et seq	No	Formally constituted SFNY Advisory Board with Chairman (Cllr Carl Les) plus 5 Cllrs plus reps from NYnet, BDUK and LEP. NYnet chairman attends as observer. Board supported by SFNY Engagement Group comprising 'broadband champions' from district council plus LEP, Chamber of Commerce, FSB, NFU. Written governance documents.		Phase 1 Project cost of £30m offset by BDUK/ERDF grants. Phase 2 Project cost of £8m (£5m BDUK/ERDF/£3m NYCC). Project Management undertaken on behalf of NYCC by NYnet - costs/grants are recorded in a separate company (NYnet 100), net cost for Phase 1/2 is £1.6m to be funded by NYCC.	NYCC for both BDUK and ERDF. NYnet is the 'managing agent' for NYCC	£1.6m (net of grants) of project management costs accrued in NYnet 100. Nil contribution to Phase 1 £3m contribution to Phase 2.	Reports to Executive when key decisions are required because NYCC is the Accountable Body. Most recent report 18 November 2014.	Cllr Carl Les (Chair) and ex-Cllr John Watson (observer in capacity as NYnet Chairman).	Preparing a Strategy for Phase 3 et seq that will, within £ available, extend access to 100% of premises by 2017.	Ian Marr	Gary Fielding	L M H M H	M	2 May 2014. Procurement processes, BT contract and grant agreements managed by NYnet. All processes undertaken with extensive consultation & with legal support and believed to be very robust. Appropriate governance arrangements in place.
LGNYY Spatial Planning and Transport Board	BES	2	To provide strategic advice, direction and leadership on spatial planning and transport matters. Enable implementation of the Duty to Cooperate in plan making at a political level.	June 2011 - decision taken to merge the Spatial Planning Board and Transport Board. City of York Council now responsible for secretariat.	Facilitation of Duty to Cooperate discussions in respect of key Local Plan documents (including City of York, Scarborough, Harrogate and ERYC).	Continued facilitation of Duty to Cooperate requirements at member level; possible need to review role, purpose and reporting arrangements within context of sub-regional devolution agenda.	No (although has met infrequently)	One Cllr from each local authority. Written terms of reference.	www3.northyorks.gov.uk/r3cabinet_10/govnyireports_2/0120928_05seccom mendatio05recom mendatio.pdf	No allocated budget. No income streams identified to date. Secretariat function provided by City of York Council.	n/a	Officer time only	No routine report to NYCC elected member body, but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	Cllr Gareth Dadd - member; deputy is Cllr Chris Metcalfe	No	David Bowe / Carl Bunnage	Debbie Bassett	L L L L L	L	n/a
LGNYY Housing Board	BES / HAS	2, 3	Identifying and responding to key housing issues; agreeing and managing the delivery of strategic housing investment priorities; undertaking sub regional research; and encouraging both innovative and consistent sub regional working across North Yorkshire.	2011 agreed to retain, but streamline.	Review of role, broadening of membership and cross-lecture remit, and establishment of greater alignment with the YNYER LEP in order to contribute more effectively to the growth agenda across all tenures; input into preparation of LEP Strategic Economic Plan contributing towards the securing of Local Growth Fund monies for housing Strategic Development Sites; on-going delivery of affordable housing including through Rural Housing Enablers programme; commencement of work on new Housing Strategy.	Adoption / implementation of new Housing Strategy; further contribution to growth agenda across all tenures; on-going delivery of affordable housing; further alignment and collaboration with HCA and YNYER LEP.	No	One Cllr from local authority and reps of key partners. Written terms of reference	www.northyorkshirestrategichousingpartnership.co.uk/index.php/governance	Circa £160k pa (partnership costs plus rural housing enablers programme). Partnership posts funded by LA and YNYER LEP (£8K contributions); RHE programme funded by LAs / Registered Provider / Leeds City Region / NYCC contributions (£50K previously paid upfront as a contribution to the three year RHE programme).	Hambleton District Council, including employer of partnership staff.	£6.5k contribution towards Rural Housing Enablers Programme. Contribution towards Housing Strategy Manager post 2015/16 to be confirmed.	No routine report to NYCC elected member body but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	Cllr Gareth Dadd - member	No	Carl Bunnage (BES) / Avril Hunter (HAS)	Debbie Bassett	L M L M L	L	n/a
York, North Yorkshire & East Riding Local Enterprise Partnership	BES	2	The primary role of the LEP is provide strategic leadership to maximise the economic growth and job creation across York, North Yorkshire & East Riding. It is a public private partnership and its remit covers Business Growth, Skills and Infrastructure.	This is a new partnership and as such has not yet been subject to review although a Partnership Assessment was completed in March 2013	£122.5m Local Growth deal Secured £3.8m Business Growth Grants Fully Invested £9.4m Growing Places fund fully invested, this includes receiving and reinvesting the first £1m return *£110.4m secured currently negotiating a further £12.1m.	Implementation of the £110m Local Growth Deal Implementation of the EU110m 2015-2021 EU Structural Investment Fund (ESIF) Programme At a policy level - addressing the devolution agenda and working with Local Authorities on local governance / combined authority options.	No	Main LEP Board - Business led partnership (9 business reps, 6 local authority reps). Written governance document. Skills & Infrastructure Programme Boards below LEP Board.	www.businessinspiredgrowth.com/about-the-lep/	NYCC £243k. City of York £40.5k. East Riding £60.75k. 7x Districts £20.25k. Total £486k. BIS Funding £500k. Investment Funds including: Growing Places Fund (£9.4m) (to be used as a revolving fund), RGF £4m (to be completed by March 2015).	NYCC	The Council's Economic & Partnership Unit has a NYCC budget of £480k and staff in the unit provide support to the LEP. CE243k of the £480k budget is engaged on LEP support.	No routine report to NYCC elected member body, although any issues requiring decisions or reports will be fed in through normal processes.	Cllr John Weighell - member	No	James Farrar	John Barrigan	L H H L H	M	November 2014 - Veritau audit completed. Written constitution in place. No concerns but need for further review as function of the LEP has potential for change and may need revised governance.

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L)					Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk undertakings - date and any action needed as a result
																		1 Probability of governance failure	2 NYCC objectives	3 NYCC financial	4 NYCC services	5 NYCC reputation		
Leeds City Region Local Enterprise Partnership	BES	2	To direct LCR policy in relation to economic development, transport, skills and infrastructure. On-going engagement continues, with the NYCC Leader maintaining a position on the LCR LEP Board. Primarily focused on transport and infrastructure. Potential risk through City Deal and plans for pooling of monies.	None undertaken	c.£500m Local Growth Deal secured.	The key issue for NYCC is appraising whether to join the West Yorkshire Combined Authority.	No	Business led partnership (business reps and local authority reps, including NYCC rep). Written governance document.	www.leedscityregion.gov.uk/about/lep/	Circa £36m Growing Places Fund. Circa £1.4b City Deal (tbc). Circa £500m Infrastructure Fund (tbc).	Leeds City Council	Officer time only	No routine report to NYCC elected member body, although any issues requiring decisions or reports will be fed in through normal processes.	Cllr John Weighell - member	No	James Farrar / Carl Bunnage	Debbie Bassett	M	M	L	L	M	M	2 May 2014. NYCC is not accountable body for the LEP and essentially devotes the time of Members and Officers to the partnership working. Appropriate governance is in place. Need to monitor the impact of the West Yorkshire Combined Authority
Leeds City Region Leaders Board	BES	2	To direct LCR policy and lead decision making in relation to housing, transport, and planning matters.	Unknown.	Continued support to LCR LEP Board.	Strategic review of existing housing, transport and planning strategies, and leadership in relation to objectives, priorities and actions flowing from them.	No	Local Authority Leaders. Written governance document.	http://www.leedscityregion.gov.uk/about/leaders/	Circa £1.6m expenditure. Primarily funded by LA subscriptions and Government grant.	Leeds City Council	£37,428 contribution to LCR (2014 /15)	No routine report to NYCC elected member body but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	Cllr John Weighell - member	No	David Bowe / Carl Bunnage	Debbie Bassett	L	L	L	L	M	L	n/a
Leeds City Region Housing and Regeneration Board (formerly Leeds City Region Homes & Communities Agency Joint Board)	BES	2	To lead and direct funding for housing and regeneration priorities across the city region.	Unknown.	In addition West Yorkshire Combined Authority have secured a devolution deal with government.	On-going work in relation to delivery of LCR /HCA Board Implementation Plan and in connection with preparation of LCR LEP Strategy and Growth Plan. Likely limited impact upon NY.	No	Officers of local authorities and the Homes & Communities Agency. Written governance document.	www.leedscityregion.gov.uk/about/	No budget.	Leeds City Council	Officer time only	No routine report to NYCC elected member body but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	None	No	Carl Bunnage	Debbie Bassett	L	L	L	L	L	L	n/a

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L)					Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result
																		1 Probability of governance failure	2 NYCC objectives	3 NYCC financial	4 NYCC services	5 NYCC reputation		
Local strategic and community safety partnerships																								
Craven Local Delivery Team	CS	2, 3	To bring together the operational managers of the responsible authorities, supported by the relevant organisations, to coordinate and ensure the delivery of the North Yorkshire Community Safety Partnership Plan in the district, in particular to: a. Protect their local communities from crime and disorder, and help people feel safer; b. Deal with local issues like antisocial behaviour, drug or alcohol misuse, reoffending and crime prevention; and c. Assess local crime and disorder priorities and consult partners and the local community about how to deal with them.	Created Autumn 2014	1) Set-up of a BCRP (business crime reduction partnership) (2) Worked collaboratively to highlight to partners the risks of Child Sexual Exploitation in their district, raising awareness and working towards prevention. (3) Various road safety events focused on keeping motor cyclists and pedal cyclists safe on our roads. (4) Facilitated the district's first Domestic Abuse Forum in Craven (5) Country Watch has continued to expand in Craven. The initiative strengthens the links between rural businesses, farms and communities.	ASB/Vulnerable Communities/ Developing Stronger Families. Child sexual exploitation. Prevent agenda. Road safety. Business crime	No.	Senior reps (members and officers) of key local community safety partners. Written governance document.		Craven District Council provides £10k to the partnership. Other income is from the PCC to be spent on projects/project delivery	Craven District Council	No budget.	No formal reporting requirement to Craven Area Committee - issues taken will be by exception only.	Cllr Andy Solloway	No	Neil Irving	n/a	L	L	L	L	M	L	n/a
Hambleton Local Delivery Team	CS	2, 3	To bring together the operational managers of the responsible authorities, supported by the relevant organisations, to coordinate and ensure the delivery of the North Yorkshire Community Safety Partnership Plan in the district, in particular to: a. Protect their local communities from crime and disorder, and help people feel safer; b. Deal with local issues like antisocial behaviour, drug or alcohol misuse, reoffending and crime prevention; and c. Assess local crime and disorder priorities and consult partners and the local community about how to deal with them.	First meeting held 23 Oct 2014. Terms of reference and governance arrangements arranged at this meeting.	Delivered a range of awareness, advice and training activities around domestic abuse /Produced a countywide briefing paper for victims of abuse on changes to legal aid/Took the countywide lead on creating an understanding of the new Anti-Social Behaviour, Crime and Policing Act including running awareness sessions, producing guidance document and the partnership website.	Key priorities: Anti-Social Behaviour Domestic Abuse Road Safety Community Engagement, Offender Management & Safeguarding Vulnerable Individuals and Troubled Families	No	Senior reps (members and officers) of key local community safety partners. Written governance document.		Hambleton District Council covers incidental costs and partnership support. In addition it has provided a one-off allocation of £20k to the partnership. Other income is from the PCC to be spent on projects/project delivery	Hambleton District Council	No budget.	One report per year to be taken to Hambleton Area Committee.	Cllr Heather Moorhouse	No	Neil Irving	n/a	L	L	L	L	M	L	n/a
Harrogate and District Local Delivery Team	CS	2,3	To bring together the operational managers of the responsible authorities, supported by the relevant organisations, to coordinate and ensure the delivery of the North Yorkshire Community Safety Partnership Plan in the district, in particular to: a. Protect their local communities from crime and disorder, and help people feel safer; b. Deal with local issues like antisocial behaviour, drug or alcohol misuse, reoffending and crime prevention; and c. Assess local crime and disorder priorities and consult partners and the local community about how to deal with them.	N/A - first meeting held 27 Jan 2014. Terms of reference and governance arrangements will be reviewed at least every 2 years.	Delivered - community events, cycle security events, crime prevention videos, newsletters, launched Twitter account, continued use of night marshalls, road safety campaigns, domestic abuse initiatives, alcohol and substance misuse work.	Road safety, domestic abuse (co-ordinator appointed), anti social behaviour, cycle security, community engagement.	No	Senior reps (officers) of key local public sector partners. Written terms of reference.		Harrogate Borough Council covers incidental costs and partnership support (£10k). Also 1.75 FTE. Other income is from the PCC to be spent on projects/project delivery.	Harrogate Borough Council	No budget.	No formal reporting requirement to Harrogate Area Committee - issues taken will be by exception only.	Cllr Cliff Trotter	No	Neil Irving	n/a	L	L	L	L	M	L	n/a
Harrogate District Public Services Leadership Board	CS	2, 3	To support a 'One Public Service' vision and facilitate local agencies coming together seamlessly to deliver more cohesive joined up working and unified local services.	NA	Establishing board and new governance, developing plan on a page and supporting work plan, successful application to the systems leadership - local vision programme, getting through to the 2nd phase of the DCLG Delivering Differently in neighbourhoods programme (ultimately not successful).	To deliver against the outcomes in the work plan and action areas - the main ones being the Systems Leadership Local Vision programme, making the Harrogate District Dementia Friendly, developing the joint commissioning framework and testing it by delivering a single point of access pilot.	No	Officers of local agencies. Written governance document.		No budget as such. HBC provide administration costs.	Harrogate Borough Council	No budget.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	No	Neil Irving	n/a	L	L	L	L	M	L	n/a
Richmondshire Local Delivery Team	CS	2,3	To bring together the operational managers of the responsible authorities, supported by the relevant organisations, to coordinate and ensure the delivery of the North Yorkshire Community Safety Partnership Plan in the district, in particular to: a. Protect their local communities from crime and disorder, and help people feel safer; b. Deal with local issues like antisocial behaviour, drug or alcohol misuse, reoffending and crime prevention; and c. Assess local crime and disorder priorities and consult partners and the local community about how to deal with them.	First meeting held 23 Oct 2014. Terms of reference and governance arrangements arranged at this meeting.	Delivered a range of awareness, advice and training activities around domestic abuse /Produced a countywide briefing paper for victims of abuse on changes to legal aid/Took the countywide lead on creating an understanding of the new Anti-Social Behaviour, Crime and Policing Act including running awareness sessions, producing guidance document and the partnership website.	Key priorities: <input type="checkbox"/> Anti-Social Behaviour <input type="checkbox"/> Domestic Abuse <input type="checkbox"/> Road Safety <input type="checkbox"/> Community Engagement, Offender Management & Safeguarding Vulnerable Individuals and Troubled Families	No	Senior reps (members and officers) of key local community safety partners. Written governance document.		Richmondshire District Council covers incidental costs and partnership support. Other income is from the PCC to be spent on projects/project delivery	Richmondshire District Council	No budget.	One report per year to be taken to Richmondshire Area Committee.	Cllr Michael Heseltine	No	Neil Irving	n/a	L	L	L	L	M	L	n/a

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L) 1 Probability of governance failure 2 NYCC objectives 3 NYCC financial 4 NYCC services 5 NYCC reputation	Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result
Ryedale Local Delivery Team	CS	2,3	To bring together the operational managers of the responsible authorities, supported by the relevant organisations, to coordinate and ensure the delivery of the North Yorkshire Community Safety Partnership Plan in the district, in particular to: a. Protect their local communities from crime and disorder, and help people feel safer; b. Deal with local issues like antisocial behaviour, drug or alcohol misuse, reoffending and crime prevention; and c. Assess local crime and disorder priorities and consult partners and the local community about how to deal with them.	2010 - amalgamated with Safer Ryedale Board, so community safety partnership and local strategic partnership. Proposal to combine the six CSPs for NY being developed and considered.	Delivery of awareness raising days to Ryedale Secondary Schools in Anti Bullying Week. Delivery of the Safer Ryedale Action Plan. Setting up and continued support of 3 x Pubwatch Meetings. Ryedale Multi Agency Tasking Meetings held monthly	Domestic Violence. Safer Roads. Crime, Alcohol & Substance Harm, Child Sexual Exploitation & Vulnerable People	No	Senior reps (members and officers) of key local partners. Written governance document.		Ryedale District Council covers incidental costs and partnership support. Other income is from the PCC to be spent on projects/project delivery	Ryedale District Council	No budget.	Ryedale Area Committee - now once a year, and ad hoc if requested. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	Cllr Val Arnold	No	Neil Irving	n/a	L L L L M	L	n/a
North Yorkshire Coast Community Partnership	CS	2,3	• To set the overall strategic vision for the Borough of Scarborough • To co-ordinate the actions of the public, private, voluntary and community sectors to implement the Community Strategy and avoid duplication. • To work with and support the local authority and other statutory bodies in improving public services to meet the communities' needs and aspirations, including constructive challenge of partners where required. • To work together on key national, regional and local issues.	July 2012 - Constitution reviewed and amended.	Development of new ways of working in partnership in areas of high need and demand. Successfully applied to be part of the government's Local Alcohol Action Area programme. Action plan in place and being implemented. Successfully applied to be part of the government's "Our Place Programme", including funding of £12k to support community and partnership working, focused on Castle/Northbay. Delivery of multi agency training to raise awareness of loan sharks and delivery of awareness raising activity. Refresh of the Financial Inclusion Plan for the Borough.	Development of multi-agency problem solving model and expanding co-located team, including additional police staff. Completion of LAAA programme and ongoing work to deliver the Night Time Economy Strategy. Completion of Our Place programme and implementation of Operational Plan. Development of joint responses to the most challenging individuals (including Changing Lives programme). Substance Misuse Harm Reduction, including implementation of NPS strategy. Developing new opportunities for shared public sector assets and services. Supporting locality working. Digital inclusion and development of well being/community hubs.	No	Senior reps (members and officers) of key local partners. Written governance document.		Supported and managed by the Borough Council. Some additional external funding for specific activity.	Scarborough Borough Council	No budget.	Yorkshire Coast and Moors County Area Committee - normally twice a year	Cllr Janet Jefferson - member.	No	Neil Irving	n/a	L L L L M	L	n/a
Local Public Service Executive (Scarborough district) (includes Local Delivery Team function)	CS	2,3	Stimulate further integration, co-ordination and co-operation between local, public sector organisations building on the success of current close working achieved through the LSP and the Community Safety Partnership	Covers community safety since abolition of to CSP in 2012. Membership and terms of reference reviewed in 2012.	Development of a multi-agency prevention and enforcement team for Castle/Northbay. Successfully applied to be part of the government's Local Alcohol Action Area programme. Action plan in place and being implemented. Development of new partnership strategy for NPS.	• Development of the multi-agency problem solving model and expanding the co-located team, including additional police staff. • Completion of LAAA programme (and ongoing work to deliver the Night Time Economy Strategy) • Development of joint responses to the most challenging individuals (including Changing Lives programme) • Substance Misuse Harm Reduction, including implementation of NPS strategy. • Supporting locality working.	No	Senior reps (officers) of key local public sector partners. Written terms of reference.		Scarborough Borough Council covers incidental costs and partnership support. £64k from Police and Crime Commissioner.	Scarborough Borough Council	No budget.	Yorkshire Coast and Moors County Area Committee in respect of community safety issues - normally twice a year.	None	No	Neil Irving	n/a	L L L L M	L	n/a
Selby District Local Delivery Team	CS	1, 3	To bring together the operational managers of the responsible authorities, supported by the relevant organisations, to coordinate and ensure the delivery of the North Yorkshire Community Safety Partnership Plan in the district, in particular to: a. Protect their local communities from crime and disorder, and help people feel safer; b. Deal with local issues like antisocial behaviour, drug or alcohol misuse, reoffending and crime prevention; and c. Assess local crime and disorder priorities and consult partners and the local community about how to deal with them.	First meeting held 2 Feb 2015. Terms of reference and governance arrangements arranged at this meeting.	Development of Volunteer border patrol. Purchase of additional ANPR camera to combat cross border crime. Initiatives in relation to Night Time Economy and ASB. Cases managed through Multi-Agency Problem Solving Groups. Management of Night Time Economy through Pub watch etc. Road safety events. Various initiatives undertaken in relation to domestic abuse. Selby Against Retail Crime (SARC) scheme. Various campaigns including: Personal safety; Legal Highs / NPS; Cyber crime & Internet safety; Domestic abuse.	• Vulnerable people • Quality of life / ASB • Rural / Cross border crime • Burglary • Reducing the harm caused by drugs and alcohol • Road safety	No	Senior reps (members and officers) of key local community safety partners. Written governance document.		Projected spending for 2014/15 = £62,729.80 PCC funding.	Selby District Council	No budget.	One report per year to be taken to Selby Area Committee.	Cllr Steve Shaw-Wright	No	Neil Irving	n/a	L L L L M	L	n/a
BES																				
Local Access Forum	BES	1	Continuation of current arrangements and support. The County Council is required to set up and convene a LAF by Statute (CRoW Act 2000).	No further review required since the initial review. No further review planned.	Four meetings held during 2014/15 providing advice and guidance on emerging issues in relation to access to the countryside.	Continuation of forum/meetings to continue to pursue the principles of the forums work. Review number of meetings held annually.	No	LAF purpose set out in statute with agreed terms of reference.	www.naturalengland.org.uk/ourwork/access/laf/	£0	NYCC	No budget - costs are officer time, admin support and meeting rooms.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr John Fort, Cllr Robert Heselstine, Cllr David Jeffels - members	No	Iain Burgess	Dot Barker	L L L L L	L	n/a
E Crime Project	BES	2, 3	NYCC & City of York Council to deliver the national E-Crime sub project 1 in order to undertake E-Crime enforcement and to also co-ordinate national enforcement activity.	Review undertaken during 2014. No further action required.	Delivery of year 3 of the national E-Crime project.	To ensure implementation and delivery of year 4 of the national E-Crime project within the submitted grant funding expenditure forecast.	No	Back to Back agreement in place between NYCC & CYC.		Projected outturn for 2014/15 for sub project 1 = £724K Funded by Central Government direct funding grant.	NYCC	Grant funded (circa £0.6m p.a. for set up with conditions). Grant is subject to audit. NB: there is NYCC contribution in terms of officer time. Projected outturn for 2014/15 for sub project 1 = £724k.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	Andy Robson	John Barrigan	L L H L H	M	29 June 2012 - No concerns noted.	

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L) 1 Probability of governance failure 2 NYCC objectives 3 NYCC financial 4 NYCC services 5 NYCC reputation	Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result
North Yorkshire, York and North York Moors Minerals and Waste Plan	BES	1, 2	Production of joint mineral and waste local plan (development plan document)	On-going	Completion of Issues and Options stage of consultation. Updating of evidence base for the Plan. Identification, and progress with resolution if issues now required to be addressed under the statutory Duty to Cooperate	Preferred Options consultation stage and Preparation of Pre-submission draft Plan.	No	Officer steering group. NYCC informal member input via the MWDF member working group and new Joint Member Working Group. Executive member/Executive sign-off at key project stages		estimated at circa £90k.	No official Accountable Body - joint responsibility, although NYCC would typically take lead role	IBC - Indicative up to £50k	Informal reporting to MWDF member working group and new Joint Member Working Group. Executive member/Executive sign off at key project stages.	Cllr Gareth Dadd (planning portfolio holder); Cllr David Jeffels (chairman of MWDF member working group)	No	Rob Smith	John Barrigan	L M L M M	L	n/a
York & North Yorkshire Waste Partnership	BES	2, 3	Delivering efficient waste management services that are in the best interests of the council tax payers of York & North Yorkshire - Annual cost (value) of waste management in the sub-region (inc. Yorwaste) is circa £80M. Continue to ensure delivery of joint waste strategy 'Lets talk less rubbish' & its review.	Full review completed during 2011/12 & new structure put in place from April 2012; Business Plan & Budget agreed for 2012-15 with rolling refresh & reviews.	Ryedale District Council is now managing the Partnership following NYCC's withdrawal of sole funding for the Waste Partnership Manager role. Some successes for Joint Working at District Level, including vehicle procurements. Joint working has started on recycles contract procurements for 6 partners. 3 partners have used the Partnership Composting Framework contract to establish composting contracts.	Completion of the recycles procurement. Consideration of possible benefits of using Yorwaste to deliver services using Teckal exemption from procurement. Review of business plan.	No	Portfolio holders for waste management at each of the partner authorities; overarching governance through LGNY; No formal governance document however, there is a Statement of Agreed Principles (SOAP).		Base budget is £64.5K Made up of £36K districts / CYC contributions + £28.5K NYCC.	NYCC	£28.5k. NYCC acts as banker for Partnerships funds and is the financially accountable body to the Partnership.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	Tony Norris	Dot Barker	L M L L H	M	14 June 2012 - Ultimate governance through LGNY. Effective financial control. No concerns.	
95 Alive Road Safety Partnership	BES	2, 3	The Partnership seeks to make travelling in York and North Yorkshire safer, and act in a way that inspires the trust and confidence necessary to make people feel safer too. The role of the partnership is to develop, implement and oversee the strategies to deliver the vision.	A revised Governance framework was approved by the 95 Alive Steering Group in November 2014. The strategy is reviewed on an annual basis.	Revision and amalgamation of the City of York and NYCC Speed Management Processes into a single 95 Alive Partnership Speed Management Protocol to provide a consistent framework for the objective assessment and response to speed concerns raised by members of the communities of York and North Yorkshire.	To provide a positive lead to further develop regional coordination and delivery of strategic road safety education, information and enforcement issues.	No	Lead partners - NYCC, North Yorkshire Police, Fire & Rescue, City of York, Highways Agency, Public Health. Supporting partners - local community safety partnerships. Written governance document.		Each partner agency provides staff resource as contribution for service delivery etc. Police & Crime Commissioner has confirmed £100k from surplus speed awareness course fees will be made available in 2015/16 for road safety programmes through 95 Alive partnership.	NYCC	NYCC fund officer time The NYCC Road Safety & Travel Awareness Budget and the Public Health budgets are closely allied to Partnership aims and delivery, to a total of £350k for staffing, resources and programme delivery.	Annual report to each Area Committee and to TEE Overview & Scrutiny Committee. In addition, reports to BES Executive Members on an ad hoc basis.	No	Allan McVeigh	Dianne Nielsen	M M M M M	M	October 2014 - Signed revised memorandum of understanding to govern partnership. No concerns.	
North Yorkshire Timber Freight Quality Partnership	BES	4	Support the contribution of the forestry and timber industries to the North Yorkshire economy by ensuring that timber industries can access the timber resource whilst seeking to minimise the impact on the public road network, on local communities and on the environment.	None undertaken	Assisted in discussions with timber owners, hauliers, other landowners and YDNP that helped facilitate an alternative, better, route for timber extraction at CAM / Greenfield woodland.	Update of information on the approved routes map. Work with other highway authorities to seek additional funding from Government to address timber transport and highway maintenance issues.	No	Independent Chair Jeremy Walker. Attendance by Executive Member for Highways and Transportation Cllr Dadd, Senior officers from BES, timber hauliers, forest owners and agents. Written governance document.	www.timbertransportforum.org.uk/RegionalGroups/Default.aspx?pid=62	£0	NYCC	Officer time. Annual expenditure limited to hire of venue for meetings (Annual cost of hire circa £170), honorarium for Chair (approx. £400 plus any limited expenses in course of Chairmanship. Also some potential contribution circa £500 per year towards national timber routes map.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Gareth Dadd member of FQP	Victoria Hutchinson/Andrew Bainbridge	Dianne Nielsen	L L M L L	L	n/a	
Settle Area Freight Quality Partnership	BES	4	To provide an opportunity to develop a partnership approach to dealing with issues related to HGV traffic through the Settle Area and to utilise this partnership approach reach voluntary workable solutions through consensus and concession.	None undertaken	Providing a forum for liaison between the local community, quarry operators and hauliers.	Continue to provide a forum for liaison between the local community, quarry operators and hauliers.	No	Chair County Councillor Richard Welch. Attendance by officers from NYCC BES H&T, parish council representatives and quarry managers. Written governance document.	www.nypartnerships.org.uk/index.aspx?articleid=21234	£0	NYCC	Officer time. Annual expenditure limited to hire of Victoria Hall Settle for meetings (usually 2 meetings each year, cost of hire circa £80 per meeting).	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Richard Welch - chair of FQP	Victoria Hutchinson/Andrew Bainbridge	Dianne Nielsen	L L M L L	L	n/a	
Forest of Bowland AONB JAC	BES	1,3	AONBs were established in accordance with the National Parks and Access to the Countryside Act of 1949 and the Countryside and Rights of Way Act 2000. The statutory purpose of AONBs is to conserve and enhance the natural beauty of their area.	16/10/2014 Joint Advisory Committee meeting. Partnership funding agreed. Management Plan actions agreed.	On-going delivery of the five-year AONB Management Plan; Inc. the Lancashire Green Tourism Project & planning advice. Management Plan 2014-19 approved and published.	Challenge of on-going delivery of Management Plan with year on year 5% reduced Defra core funding. NYCC funding same as last f.yr. Large £ reduction proposed for 16-17.	No	Memorandum of understanding - JAC includes one NYCC elected member. There is also an Officers Steering Group.		£333k. 75% funding from Defra. Other contributions from Lancashire CC and 6 district councils, Inc. Craven DC.	Lancashire County Council	£7k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Richard Welch - member	Graham Megson	Dot Barker	L L L L L	L	n/a	

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L) 1 Probability of governance failure 2 NYCC objectives 3 NYCC financial 4 NYCC services 5 NYCC reputation	Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result
Nidderdale AONB JAC	BES	1,3	AONBs were established in accordance with the National Parks and Access to the Countryside Act of 1949 and the Countryside and Rights of Way Act 2000. The statutory purpose of AONBs is to conserve and enhance the natural beauty of their area.	18/09/2014 Joint Advisory Committee mtg. Partnership funding and annual work plan agreed.	On-going delivery of the five-year AONB Management Plan. Numerous environmental, business and access initiatives. Management Plan for 2014-19 approved.	Challenge of on-going delivery of Management Plan with year on year 5% reduced Defra core funding. NYCC funding reduced by 5% from last f/yr. Large £ reduction proposed for 16-17.	No	Memorandum of understanding - JAC including three NYCC elected Members. Also Officers Steering Group.		£477k. 75% funding from Defra. Other contributions from Harrogate BC.	Harrogate Borough Council	£19k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Mike Harrison, Cllr John Fort and Cllr Margaret Atkinson	No	Graham Megson	Dot Barker	L L L L L	L	n/a
Howardian Hills AONB JAC	BES	1,3	AONBs were established in accordance with the National Parks and Access to the Countryside Act of 1949 and the Countryside and Rights of Way Act 2000. The statutory purpose of AONBs is to conserve and enhance the natural beauty of their area.	14/11/2014 Joint Advisory Committee mtg. Partnership funding agreed for 2014-15. Annual work plan agreed for 2014/15.	On-going delivery of the five-year AONB Management Plan. Delivery of school twinning project with Hull and York. Management Plan for 2014-19 agreed and published.	Challenge of on-going delivery of Management Plan with year on year 5% reduced Defra core funding. NYCC funding same as last f/yr. Large £ reduction proposed for 16-17.	No	Memorandum of understanding - JAC including two NYCC elected Members. Also Officers Steering Group.		£264k. 75% funding from Defra. Other contributions from Ryedale and Hambleton DC.	NYCC	£35k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Clare Wood and Cllr Caroline Patmore - members	No	Graham Megson	Dot Barker	L L L L L	L	n/a
Local Nature Partnership	BES	2, 3	To drive positive change in local natural environment, taking a strategic view of challenges and opportunities linking benefits of nature, people and the economy. LNP strategy provides context for on-the-ground activity. The intent to establish LNPs was announced in the 2011 Natural Environment White Paper.	Draft strategy written during 2013 which sets out vision and objectives. Agreed to consult on this in Jan-March 2014 with a view to formal strategy adoption in Summer 2014.	Public consultation held on the LNP strategy in January 2014 - March 2014. Shadow Board formalised and adopted LNP strategy in July 2014. Strategy published on LNP webpage. John Lawton is now the LNPs patron. Board met 4 times in 2014. Continued to make links with Public Health and the Local Enterprise partnership.	Issue of practical delivery when there is no central funding for LNPs - partners have to work together to target limited resources to best effect. Improved links to health and well being team. NYCC agreed to be Secretariat for the Partnership until March 2015. Discussions are on-going to understand who will take over the role from April 2015.	No	Senior officers of key local partners. Governance document in development through 2015.		No partnership spend or income in 2014-15. No identified source of income for the future.	NYCC	No direct £ contribution on an on-going basis. NYCC provide secretariat to LNP; champions one of the priority areas and involved in project delivery which may include staff and £ in future.	None	No	Kerry Green/lan Fielding	Dot Barker	L L L L L	L	n/a	
North Eastern Inshore Fisheries and Conservation Authority (NEIFCA)	BES	1,2,3	Marine & Coastal Access Act 2009 - duty to champion & manage a sustainable marine environment & inshore fisheries. The partnership has a membership of 30 individuals including 13 local authority Members from 11 coastal local authorities (between Tyne & NE Lincs).	At the meeting of 05/12/2013 it was agreed to fix the levy for 3 yrs.	On-going monitoring & enforcement of inshore fisheries using land based and sea based operations. 'No Take Zone' at Flamborough Head, new patrol vessel operational.	Delivery of Marine & Coastal Act 2009 especially management & protection of fisheries & marine environment.	No	Reps from the 11 coastal Local Authorities, 14 members appointed by Marine Management Organisation and 1 member appointed by each of Marine Management Organisation, Environment Agency and Natural England.	www.ne-fca.gov.uk/about-us/our-members	£875k. Other funding from the other coastal Local Authorities.	East Riding of Yorkshire Council	£198k (+ £54.9k which is received as a grant from Defra).	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Members are Cllr Derek Bastiman & Cllr Tony Randerson	NYCC is tied into a three year fixed levy. NYCC proportion of total levy is 22.2%. NYCC Member representation is 2. Defra currently (2014-15) provides a grant of £54.9k but this is not guaranteed to continue year on year.	Graham Megson	Dot Barker	L L M L L	L	n/a
Welcome to Yorkshire (W2Y)	BES	2	NYCC contribute to W2Y as part of support for tourism in the region.	September 2011.	The County Council worked closely with Welcome to Yorkshire to make the Tour de France a successful event. A report on the impact of the event has been published and indicates the successes in terms of spectators, economic impact and general support for the event and future similar events.	The County Council is working with Welcome to Yorkshire to deliver the Tour de Yorkshire, which will take place from 1 to 3 May 2015.	No	Public / private partnership. Written governance document.	industry.yorkshire.co.uk/documents/industry/about/WTYMandAofAssociation041209new.pdf	Annual turnover 2013/14 for commercial activities was £3.7m. Other operating income totalled £473k, mainly from Local Authorities.	W2Y	£84k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	Future finding contributions / arrangements.		John Barrigan	M L M L M	M	12 April 2013 - Company governed by memorandum and articles of association. Accounts up to date. Robust governance. No concerns.
North Yorkshire - Cleveland Coastal Forum	BES	3	To promote Heritage Coast for economy, tourism, natural beauty, etc.	Annual Exec meeting on 25/3/2014. 5 year coastal forum strategy to be renewed. NYCC budget contribution reduced from £7,600 to £6,000.	On-going delivery of the Management Plan which aims to manage the needs of the coast and manage the relationship between different and sometimes conflicting issues, including rural economy and heritage conservation.	Due to savings burden NYCC will reduce funding to £1,500 for 2015-16. NYMNP re-structure & savings plan - Project Officer post lost in 2014. Aim is for project to continue but without a dedicated officer.	No	Member representation from NYCC, North York Moors NPA, Redcar & Cleveland BC, Scarborough BC. Written terms of reference agreed in 2005 and not updated since.		£37k	North York Moors NPA	£6k.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Derek Bastiman, Cllr John Blackburn and Cllr Joe Plant - Members	NY2020 savings, NYCC to reduce funding to £1.5k	Graham Megson	Dot Barker	L L L L L	L	n/a
North Yorkshire Flood Risk Partnership	BES	2	To coordinate and lead sub-regional activity aimed at reducing and managing flood risk.	Terms of reference were extended to formalise the partnership role in collating the sub-regional capital investment priorities for submission to the Environment Agency in April 2013.	The partnership played a critical role in coordinating and reviewing the North Yorkshire sub-regional programme submission, which was incorporated into the Regional Flood and Coastal Committee submission to the DEFRA six-year funding programme. The partnership has also played an important part in helping to shape regional and national policy, through a series of consultation exercises.	The partnership has a critical role in supporting the delivery of our six year programme commitments, and in the monitoring of progress. The partnership will play an important role in monitoring the implementation of new sustainable drainage guidance via the planning process.	No	Member body with reps from Yorkshire Regional Flood & Coastal Committee, NYCC, City of York Council, Yorkshire Water, Environment Agency, and Internal Drainage Board core members. Written terms of reference - no formal decision making functions.		£0	n/a	Officer time only	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr John Fort	No	Mark Young	Dianne Neilsen	L M L L M	L	n/a

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L) 1 Probability of governance failure 2 NYCC financial 3 NYCC services 4 NYCC reputation	Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result			
Rail North Leaders Board / Leader Forum	BES	2,3	Strategic leadership for the development of Rail Strategy and priorities for Rail in the North of England.	Accountable Governance Arrangements agreed February 2014.	Legal bodies established: 1. Association of Rail North Partner Authorities; 2. Rail North Ltd. NYCC has joined both and appointed a director to the RNL board.	Issue the ITT for Northern and Transpennine Franchise (end Feb); Complete and sign Partnership Agreement with DfT (March); Move to the formal governance arrangements (march / April).	No	Leaders from all 30 North of England LA's and the 5 northern ITA's/CA's.		TBC	Accountability ultimately rests with DfT	£1,152	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Chris Metcalfe - member on the Association and Director on the board of Rail North Ltd	No	David Bowe / John Laking	John Barrigan	L	L	L	L	L	n/a
Yorkshire European Regional Development Fund Local Management Committee	BES	1, 2, 3	Strategic leadership of the 2007-13 Yorkshire and the Humber ERDF competitiveness programme.	2011 - streamlined structure	Programme invested.	Managing underspend by projects.	No	Senior reps (members and officers) of key local partners. Written governance document.	www.gov.uk/erdf-regional-guidance-yorkshire-and-the-humber	ERDF funding for 2012 = £58.7m and for 2013 = £59.8m.	DCLG	Officer time only	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Ability to attract viable proposals and to spend the ERDF monies. The risk is European Monies are returned to the EU. Reputational risk of association.	James Farrar	John Barrigan	M	L	L	L	L	L	n/a
York, North Yorkshire and East Riding LEP Area European Structural and Investment Funds Committee	BES	1, 2, 3	The local ESIF Committee is responsible for the strategic oversight of local investments of both the Structural (ERDF and ESF) and EAFRD Growth Programme Funds and their operational delivery in line with the Operational Programme and the strategic alignment to the LEPs Strategic Economic Plan and ESIF Implementation Plan.	Mar-15	ESIF formally constituted and Board recruited. Programme due to start 2015/16.	Priority is to launch the ESIF programme, commit funding and ensure the projects deliver to profile against the LEP Strategic Economic Plan Key risks are: 1. Delays in the National programme delaying the launch locally. 2. Slow national governance processes delaying implementation.	No	Membership is representative of various sectors including: LEP LAs HE/FE Key Sectors Vol/Com LEADER/Local Groups Equalities & Diversity Managing Authorities.			DCLG	£0	No routine report to NYCC elected member body, although any issues requiring decisions or reports will be fed in through normal processes.	Cllr Carl Les	No	James Farrar	John Barrigan	L	L	L	L	L	n/a
North Yorkshire Local Transport Body	BES	2,3	To prioritise and oversee delivery of a programme of major transport schemes and to advise the Local Enterprise Partnership on transport priorities.	Assurance framework signed off by DfT. Recent audit of the LTB concluded high assurance.	Provided advice and transport to the YNY&ER Local Economic Partnerships Strategic Economic Plan resulting in securing (provisional) allocation of £24m for highway maintenance and c£20m for other transport related schemes.	Due to the evolving role of the Local Enterprise Partnership the Partnership isn't likely to meet in the near future as there is no business for it to consider. Partnership to remain in case it is needed to provide further advice to the LEP.	No	Member body which has 2 NYCC, 2 District Council & 1 LEP voting representatives. Assurance framework signed off by DfT.	www.northyorks.gov.uk/article/27000/Local-transport-body-LTB	£9.6m capital allocation between 2015/16 & 2018/19.	NYCC	Officer time only (capital allocation is direct grant from Department of Transport).	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Gareth Dadd & Cllr Chris Metcalfe - members	David Bowe / Tom Bryant	Debbie Bassett	L	M	H	L	M	M	2 May 2014 - Audit complete - concluded high assurance.
CYPS																							
North Yorkshire Children's Trust Board	CYPS	1, 2, 3	The North Yorkshire Children's Trust has been set up under the requirements of the Children Act 2004 and represents all the agencies working with children and young people across the county. The Children's Trust provides strategic and operational direction to improve outcomes for all children, young people and families within North Yorkshire.	The Children's Trust Board has completed a partnership and governance review initiated in 2012. The revised arrangements, taking into account legislative reforms and the Health and Well-Being Board were taken to the Board in February 2013.			No	Senior reps (mostly officers) of key local partners. Written governance document. Sub-groups changed to 'task and finish groups' operating under Children's Trust Governance. Area Liaison Groups incorporated into Children's Trust Board arrangements.	cyps.northyorks.gov.uk/index.aspx?articleid=16826	Circa £3k (excluding officer time). Funded by CYPS. Influences all CYPS and partner spend on children's services	NYCC	The Trust has a leadership and co-ordinating role for children and young people spending. Most of the funding is spent by individual partners and currently total over £600M per annum. The Lead Member & Lead Officer responsibility for the Trust rests statutorily with the Council but with all partners having a statutory duty to co-operate.	The Trust reports to the Executive and Full County Council via the Chair of the Trust. Full County Council sign off for the Children and Young People's Plan is required by law. Progress reports on the Trust's work go to CYP O&S Committee bi-annually	N	Pete Dwyer	Anton Hodge	L	H	H	H	M	M	29 June 2012 - Robust governance documents. Will need modification due to changes in public health but arrangements in hand. No concerns.
Local Children's Safeguarding Board	CYPS	1, 2	Section 14 of the Children Act 2004 sets out the objectives of LSCBs. Regulation 5 of the Local Safeguarding Children Boards Regulations 2006 sets out that the functions of the LSCB, in relation to the above objectives under section 14 of the Children Act 2004. Section 13 of the Children Act 2004 requires each local authority to establish a Local Safeguarding Children Board (LSCB) for their area and specifies the organisations and individuals (other than the local authority) that should be represented on LSCBs.	Ofsted Inspection May 2014. The NYSCB and an action plan was identified. The NYSCB also has an improvement plan in place	Judged 'Good' by Ofsted. Proactive re CSE with excellent multiagency arrangements, including funding from PCC to provide support for victims in Skipton area. Development of Child Death Overview Process to be more proactive regarding suicide prevention. Robust quality assurance process, auditing multi-agency responses to safeguarding. E-safety campaign. Training and development programme. Established joint Children's Safeguarding Strategy Groups with Children's Trust Board to focus on local issues.	Strategic theme One: Drive the local strategy to ensure the safety and wellbeing of Children and Young People involved in or at risk of sexual exploitation and who are subject to multiple vulnerabilities Strategic theme Two: Ensure that partner agencies promote early help, recognise, and respond to neglect of children and young people Strategic theme Three: Work with partners to develop and implement effective mechanisms for collecting and evaluating feedback from children, young people and their families and ensure that this is used to influence service development	No	Lead Member Children's Services, Senior Managers from CYPS and Partners. All the health trusts are now full members. 'Working Together 2013' gives a statutory membership.	www.safeguardingchildren.co.uk	£278k. Contributions from key partners - NYCC, Health, Police, Probation, CAF/CAS, CDOP funding.	NYCC	£135.6k	Reports to Executive Members, Overview and Scrutiny Committee.	N	Dallas Frank	Robbie Shand	L	M	H	H	H	M	12 April 2013 - Robust governance documents. No strong concerns. Published terms of reference (2009) should ideally be updated to reflect personnel changes.
North Yorkshire Education Partnership (formerly known as North Yorkshire Schools Forum and now incorporates a wider remit to include school improvement and school reorganisation).	CYPS	1, 2, 3	The schools forum has been established under the Education Act 2002 to provide schools with greater involvement in the distribution of funding within their local authority and to act as a consultative and advisory body in relation to school funding.	Reviewed on an ongoing basis upon receipt of relevant DfE guidance. Date of last review - March 2013.	The Schools Forum is a consultative body and is not directly involved in decision-making activities. Therefore, this section is not relevant	The North Yorkshire Education Partnership will incorporate the statutory duties fulfilled by the Schools Forum and oversee the development of sector-led school improvement across the County.	N	Comprises reps of headteachers & governors from secondary, primary & nursery schools (including academies and PRS), staff associations (UNISON & teacher unions), early years & childcare providers, Church of England & Roman Catholic dioceses. Written constitution.	cyps.northyorks.gov.uk/index.aspx?articleid=13901	£100k	NYCC	£100k (DSG)	Reports to Executive Members, Overview and Scrutiny Committee	N	Jayne Laver (clerk)	Anton Hodge	L	H	M	M	L	M	12 April 2013 - No concerns. Effective constitution and clear guidance over its remit.

Partnership	Directorate	Partnership type: 1. Statutory 2. Instrumental in influencing policy 3. Instrumental in controlling £ and other resources 4. Liaison only	Purpose and role of partnership	Date of last review and action taken as a result	Key achievements 2014/15	Issues and priorities 2015/16	Have there been any governance failures in 2014/15? Yes / No If yes, outline	Membership and governance arrangements of partnership	Link to governance document / terms of reference (if published on internet)	Annual partnership expenditure and main sources of income	Accountable body	NYCC budget contribution (in addition to officer time)	Which NYCC elected member body does the partnership report to and how often?	NYCC elected member(s) directly involved in partnership and role they play	Any issues (eg decision making, accountability, transparency, key policy areas)? Yes / No If yes, outline	NYCC lead officer	NYCC finance officer	Risk factors (H / M / L) 1 Probability of governance failure 2 NYCC objectives 3 NYCC financial 4 NYCC services 5 NYCC reputation	Overall partnership risk rating High / Medium / Low	Legal Services governance review of high and medium risk partnerships undertaken - date and any action needed as a result				
Joint Commissioning of Speech, Language and Communication Needs	CYPS	2,3	The Children and Families Act requires local authorities and clinical commissioning groups to develop joint commissioning arrangements for the services required by children with Special Educational Needs and Disabilities (SEND), including those provided for children with and without Education, Health and Care Plans.	Partnership formed in Q2 2014/15 Not yet reviewed	Partnership agreement has been agreed between PCU, Airedale, Wharfedale and Craven CCG, City of York Council & NYCC. Partnership has commissioned a community interest company, Better Communication to lead the work. A steering group has been convened to include all the funding partner agencies, but also to ensure that the wide ranging impact of this project (e.g. on Preventative services) is considered. This includes representatives from NYPACT, CANDI (the City of York parents' forum), and the Flying High Group.	The major issues and priorities 2015-16 will be the analysis of data gathered in 2014-2015, and subsequent analysis. This will then lead to several neighbourhood studies, which will further examine areas of specific need/good practice to draw out recommendations for the future. A full report detailing recommendations for future joint commissioning priorities will be presented in Jan 2016. CCGs and the two LAs will then need to agree their shared priorities based on these recommendations. Lessons learned from the process will then be taken on to other areas of SEND in 2016-2017.	N	Membership comprises representation from the Partnership Commissioning Group, Airedale, Wharfedale & Craven CCG, City of York Council and North Yorkshire County Council.		£68,000	NYCC	£21,000	Reports to Executive Members and Children's Trust Board	None	N	Carol-Ann Howe	Anton Hodge	L	L	L	L	L	L	
Youth Justice Service (Management Board)	CYPS	1, 2, 3	To provide strategic direction and resourcing to enable the Youth Justice Service to meet its principal aim of preventing offending by children and young people. Section 38 of The Crime & Disorder Act 1998 places a duty on the Local Authority, acting in co-operation with other statutory partner agencies, to ensure the availability of appropriate youth justice services for their area.	Reviewed in 2012				Chief Executive, Lead Member Children's Services, Senior Managers from CYPS and Partners. Written governance document.	www.ny-yot.org.uk	£2.92 million (including seconded staff). Expenditure is funded by statutory funding partners (NYCC, Police, Probation & Health) together with grant funding by the Youth Justice Board.	NYCC	£1.29 million.	Reports periodically to Executive Members AND Overview & Scrutiny Committees.	Lead Member (Children's Services) Clr Tony Hall - member.	N	Pete Dwyer (Chair)	Howard Emmett	L	M	H	H	H	M	11 May 2015 - appropriate governance measures appear to be in place and under review as required.
HAS																								
Health Protection Assurance Group	HAS	The Group is North Yorkshire vehicle to oversee a Statutory function. It is not in statute to have such a group.	Oversees the health protection plans and arrangements and provides assurance to NYCC and the Health and Well being Board	January 2015	Group established with agreed Terms of Reference. Inaugural report to the Health and Well being Board in mid 2014. Adopted regional Health Protection Framework	Capacity of NHS England Health Emergency Planning function. Commissioning of community infection control. Mechanisms to gain assurance about Environmental Protection across North Yorkshire,	No	Director of Public Health (Chair), NYCC Public Health Consultants, Public Health England, Chief Environmental Health Officer rep. CCG rep. NYCC Head of Emergency Planning, NHS England Health Emergency Planning Officer, Director for infection Control and Prevention from NHS provider trusts.		Existing partner agency resources	NYCC	None	Clr McKenzie - Executive Member for Public Health.	N/A	New partnership. Biggest concern is reduced capacity in NHS England Health Emergency Planning. The group is addressing the lack in the system of cross collaboration across the individual agencies and capacity within each individual organisations to respond. Also, testing of plans.	Lincoln Sargeant Director of Public Health	N/A	L	L	L	M	M	L	Low to medium.
North Yorkshire Learning Disabilities Partnership Board NY Physical and Sensory Impairment Partnership Board North Yorkshire Carers Forum Mental health involvement forums (North Yorkshire Forum for Older People)	HAS	2, 4	These are important partnerships, in view of the role of visible public engagement on services and stronger user voice/influence	The Boards regularly review the work they undertake; Board development is ongoing. LD Partnership Board reviewed Terms of Reference approved at December Board.	PSI: examples of activity include contribution to NYCC consultations; OSC transport review; local access reviews eg Selby Leisure Centre, Ripon City Plan. Carers Forum involved in designing 'impact of caring' assessment; NYFOP set up new partnership forum; LD Partnership Board - undertaken Safeguarding awareness training for c70 self advocates. Self advocates have undertaken audits of two hospitals and Hambleton Leisure and recommendations made for changes to practice. Developed	Continue to actively contribute to NYCC and other partners, particularly Health, service developments, strategies and consultations. Improve involvement methods to include more people. LD Partnership Board - implementation of Safe Places project for all vulnerable people.	No	Self Advocates, Community members, service users and carers, NYCC officers, other statutory agency reps. Written governance documents.	www.nypartnerships.org.uk	£170,000 from HAS budget.	NYCC	£170,000	Reports to Clr Clare Wood as HAS Executive Member.	None	N/A	Mike Webster	David Firth	L	L	M	L	M	L	n/a
Supporting People Partnership	HAS	3	Overseeing the commissioning of housing support services for vulnerable groups. This includes contract monitoring and quality assurance.	2010. Outcome was to continue with the current commissioning arrangements. Review planned in 2015.	implementing review to the charging policy. Carrying out re-commissioning exercises for domestic abuse services, Supported Lodgings for young people, Gypsies and travellers.	Review and re-commissioning of Young Peoples Pathway, homeless prevention services and offender services. Governance review.	No	NYCC, District and Borough Councils, Probation Providers Representatives.		£10m	NYCC	c £10m	Reports to Clr Don Makenzie as Executive Member for Public Health and Supporting People.	None	N/A	Avril Hunter	Sandra Strickland	L	L	H	M	M	M	Need to revise governance documents Legal Services are working with the Lead Officer to achieve this.
Drug and Alcohol Partnership Group	HAS	2	Be an advisory and reference group on substance misuse. Inform the development of the alcohol strategy. Inform the development of drugs strategy. Gather intelligence to inform strategy development and implementation, and delivery of services. Strategically address transition from young people to adult substance misuse services.	Review agreed February 2015. Review of membership. Terms of Reference.	Production of alcohol strategy and implementation plan. Commissioning and implementation of the Adult Substance Misuse service (NY Horizons).	Monitoring the implementation of the alcohol strategy. On-going development and performance monitoring of the Adult Substance Misuse Service.	No	Chair: Director of Public Health. Members: NYCC, Police, Prisons, DISC, New Horizons, Partnership Commissioning Unit, Community Pharmacy, Yorkshire Ambulance Service, District councils, National Probation Service, Office of Police and Crime Commissioner, Public Health England. Sub group of Health and Well Being Board.	www.nypartnerships.org.uk/smpb	No funding of it own. Provides strategic direction for drug and alcohol commissioning priorities.	NYCC	c£5m Public Health	Reporting is via HASLT to HAS Exec as required. Reports to the Health and Well Being board.	None	No	Lincoln Sergeant	Lisa Gallon	L	M	H	M	M	M	February 2014 - New terms of reference agreed.

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Safeguarding Adults Board	HAS	2 but will become statutory from April 1st 2015 (Care Act 2014)	To provide strategic leadership for Adult Safeguarding arrangements and to quality assure partner agencies safeguarding practice.	New Terms of Reference adopted in September 2014. The Strategic Board was streamlined and strengthened to become Care Act ready.	Reviewing strategic plan in preparation for Care Act implementation. Engagement event with user led groups and Healthwatch is now a key member of the Strategic Board to contribute to the community voice. Revised governance arrangements agreed in order for the Board to be able to meet Care Act requirements. Performance and Quality Assurance Framework developed. Additional senior leadership with HAS through AD Quality and Engagement and Head of Safeguarding and Voice.	Meting the Care Act core duties; publishing a strategic plan developed with local community involvement and Healthwatch ensuring it is evidence based; publishing an Annual Report; conduct Safeguarding Adults Reviews in line with Act. Adopting Care Act compliant multi-agency policies and procedures including information sharing protocols.	No	Strategic Board NYCC, Police, CCGs, District Councils, ICG, Health Trusts, Healthwatch, NHS England, Director of Public Health, Operational Board - statutory partners plus District Councils, Probation, ICG, NYFF, Fire & Rescue, Health Trusts NHS England, LCSB. Written governance document.	www.nypartnerships.org.uk/index.aspx?articleid=17008	c£400k. NYCC including contribution from the other statutory partners.	NYCC	c £400,000. This includes Safeguarding team, support to the Board and multi-agency training .	6 monthly to Care and Independence OSC. Regular reporting to Health and Well being Board being developed	Cllr Clare Wood - in attendance	Ensuring compliance with Care Act. Securing effective engagement with GPs	Mike Webster	Nick Morgan	L H M H	M	2 May 2014 - Appropriate governance arrangements in place. These appear to be kept under regular review and revised in 2012/13 to reflect NHS changes and to work with new health partners. A performance framework has been devised. No action is required at this time.
Health and Well Being Board, Delivery Board, Commissioners Forum, Providers Forum.	HAS	2, 3	To oversee the development and implementation of the priorities agreed and set out within the Health and Well being Strategy. To improve the health and care outcomes for the people and communities of North Yorkshire. .	Governance review completed and agreed Nov 2014. The North Yorkshire Delivery Board and the Commissioner forum and Provider Forum have been set up to assist the delivery of HWB priorities.	Agreed development of new Health and Well Being strategy. Signed off Pharmaceutical Needs Assessment. Autism strategy developed and agreed for consultation. Successful sign off of Better Care Fund. Signed off Alcohol Strategy. Provided strategic assurance of Winterbourne reform. Section 75 agreements all signed for 2014/15	Further Integration of health and social care. Publication of revised Joint HWB Strategy. Development of Workforce Strategy and tobacco. Agreeing a performance dashboard for Better Care Fund. Mental Health Strategy, Tobacco Control Strategy to be developed.	No	NYCC, Clinical Commissioning Groups, representative from district councils, NHS providers, Voluntary and Community Sector. NHS England.	Website being updated to reflect governance changes and to add Terms of Reference.	No funding of its own but it has oversight of £45m BCF and strategic direction for investment against HWB priorities.	Accountability rests with individual organisations for delivery of HWB plans.	Officer time only	Health and Well Being Board Elected Members.	Cllr Clare Wood - Chair, Cllr Tony Hall - member, Cllr Don McKenzie - member Cllr Blackie - member	. The complexity of accountability resting with individual member organisations and the fact that there can be competing interests.	Wendy Balmain	Paul Cresswell	L M L L H	M	11 May 2015 - the partnership does not hold its own budget, so there is low risk on financial implications. Governance appears to be secure though a full review of the arrangements is to be undertaken to ensure there is no cause for concern.

CYPS Partnership Activity

The Children's Trust is the primary strategic partnership which oversees the delivery of the priorities set out in "Young & Yorkshire", the three-year strategic plan for children's services across the County. However, delivery of the aims and objectives of the plan cannot be achieved by the Board of the Children's Trust alone. To this end there are a number of sub-groups and time-limited task and finish groups which bring together a number of agencies (including parents and the voluntary sector) to work in partnership to bring about change and improve outcomes for children and young people across the County. Similarly, the North Yorkshire Safeguarding Children Board has a number of sub-groups and time-limited task and finish groups which ensure delivery against Board business plan priorities.

Examples of this activity include:

- Children's Safeguarding & Strategy Groups – locality led groups affiliated to both the Children's Trust Board and the North Yorkshire Safeguarding Board, charged with local delivery of the priorities of both strategic Boards
- SEND Steering Group – a multi-agency group, which includes parental representation, that continues to oversee the implementation of reforms to the SEND agenda as set out in the Children and Families Act
- Emotional and Mental Health Strategy Implementation Group – this group brings together key stakeholders from the Children and Young People's Service, Public Health and Clinical Commissioning Groups to agree and monitor delivery of the Strategy in partnership to secure improved emotional and mental health and wellbeing for children and young people

The work of such groups is closely monitored by the Children's Trust Board and the North Yorkshire Safeguarding Children Board to ensure adequate oversight, governance and co-ordination of partnership activity across all aspects of children's services.